

3.2 Prefix Transposition Distance

3.3 Reversal Distance

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Since the problem of sorting by transposition seems very difficult, some researchers have tried to study variants of this problem. In this section, we discuss a restricted version of sorting by transpositions in which only the "beginning" of the permutation may be moved.

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Table 3.3

The number of permutations π in S_n with $ptd(\pi) = k$; $1 \leq n \leq 10$

$n \backslash k$	0	1	2	3	4	5	6	7	8
1	1	0	0	0	0	0	0	0	0
2	1	1	0	0	0	0	0	0	0
3	1	3	2	0	0	0	0	0	0
4	1	6	14	3	0	0	0	0	0
5	1	10	50	55	4	0	0	0	0
6	1	15	130	375	194	5	0	0	0
7	1	21	280	1,575	2,598	562	3	0	0
8	1	28	532	4,970	18,096	15,532	1,161	0	0
9	1	36	924	12,978	85,128	188,386	74,183	1,244	0
10	1	45	1,500	29,610	308,988	1,364,710	1,679,189	244,430	327

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Proof. It is a easy to see that every prefix transposition is a transposition, we have the prefix transposition distance is always at least as large as the transposition distance ($ptd(\pi) \geq td(\pi)$); therefore, any lower bound on $td(\pi)$ is also a lower bound on $ptd(\pi)$. ■

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Definition (k-Prefix Transposition)

For a permutation π , a **k-prefix transposition** is a transposition τ such that $ptb(\pi \circ \tau) = ptb(\pi) + k$.

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For all π in S_n , we have $ptd(\pi) \geq \lceil \frac{ptb(\pi)-1}{2} \rceil$.

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Proof. Immediate from Lemma 3.4

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For all π in S_n , there exist at most one prefix transportation τ such that

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$(k + 1 \ k \ k + 2 \ k - 1 \ k + 3 \ k - 2 \ \dots \ 2k - 1 \ 2 \ 2k \ 1)$.

The prefix transposition distance of those permutations is k .

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$$ptd(\pi) \geq \frac{s(\pi) + \frac{\sum_{C \in \gamma(\pi)} (|C| - 2)}{3}}{2}$$

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For all π in S_n , we have

$$ptd(\pi) \geq \frac{n+1+c(G(\pi))}{2} - c_1(G(\pi)) - \begin{cases} 0 & \text{if } \pi_1 = 1 \\ 1 & \text{o.w} \end{cases}$$

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For all π in S_n , we have

$$p_{td}(\pi) \geq \frac{n+1+c(G(\pi))}{2} - c_1(G(\pi)) - \begin{cases} 0 & \text{if } \pi_1 = 1 \\ 1 & \text{o.w} \end{cases}$$

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Definition (Cycle Graph $G(\pi)$)

The **cycle graph** of a permutation π of $\{1, 2, \dots, n\}$ is the directed graph $G(\pi)$ with vertex set $\{0, 1, \dots, n, n+1\}$ and whose arcs consist in black (or reality) arcs (π'_i, π'_{i-1}) for $1 \leq i \leq n+1$, gray (or desire) arcs $(i, i+1)$ for $0 \leq i \leq n$, where π' is the linear extension of π .

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Theorem ([2])

For all π in S_n , we have $ptd(\pi) \leq n - \log_8 n$.

3.3 Reversal Distance

Definition (Reversal)

For any permutation π in S_n , the *reversal* $\rho(i, j)$ with $1 \leq i < j \leq n$ applied to π reverses the closed interval determined by i and j , transforming π into $\pi \circ \rho(i, j)$.

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permutation:
$$\begin{pmatrix} 1 & \cdots & i-1 & \overline{i \ i+1 \ \cdots \ j-1 \ j} & j+1 & \cdots & n \\ 1 & \cdots & i-1 & \overline{j \ j-1 \ \cdots \ i+1 \ i} & j+1 & \cdots & n \end{pmatrix}.$$

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The **reversal distance** of a permutation π will be denoted by $rd(\pi)$.

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Since a reversal can reduce the number of strong breakpoints by at most two (e.g., $(\underline{13}24) \rightarrow (1234)$), we get the following first bound:

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Theorem ([3])

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($E = \{(\pi'_i, \pi'_{i+1}), (\pi'_j, \pi'_{j+1}) \mid |\pi'_i - \pi'_j| = 1 \text{ and } |\pi'_{i+1} - \pi'_{j+1}| = 1\}$)

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Proof. In the best case, two consecutive reversals can remove at most three strong breakpoints.

Definition ((3.15)Breakpoint Graph)

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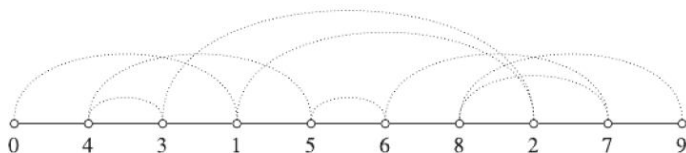


Figure 3.4
The breakpoint graph of the permutation (4 3 1 5 6 8 2 7)

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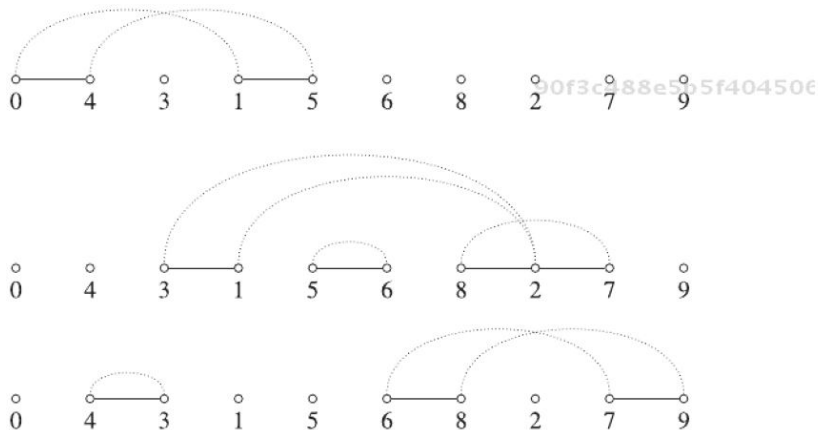


Figure 3.5

A maximal alternating cycle decomposition of the breakpoint graph of figure 3.4 into five cycles

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For any permutation π , we have $rd(\pi) \geq p(\pi) - c^(BG(\pi))$.*

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For any permutation π , we have $rd(\pi) \geq p(\pi) - c^(BG(\pi))$.*

But, the $c^*(BG(\pi))$ is not easy to compute.

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$$rd(\pi) = \min_{\vec{\pi} \in \vec{\Pi}} srd(\vec{\pi}),$$

where $srd(\vec{\pi})$ denotes the signed reversal distance of the spin $\vec{\pi}$.

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A **spin** of a permutation π in S_n is a signed permutation $\vec{\pi}$ in S_n^\pm such that $|\vec{\pi}_i| = \pi_i$ for all $1 \leq i \leq n$.

Lemma (198)

For any permutation π , in S_n , denote $\vec{\Pi}$ as the set of all spins of π ; we have ,

$$rd(\pi) = \min_{\vec{\pi} \in \vec{\Pi}} srd(\vec{\pi}),$$

where $srd(\vec{\pi})$ denotes the signed reversal distance of the spin $\vec{\pi}$.

A spin $\vec{\pi}$ of permutation π is called **optimal** if $rd(\pi) = srd(\vec{\pi})$.

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For any permutation π in s_n , there exists an optimal sorting sequence of reversals that never increases the number of strong breakpoints.

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